



Ms. Carmen Wright,
Office of Project Delivery
South Carolina Department of Transportation
WrightCL@scdot.org

February 26, 2024

Reference: Statement of Qualifications
I-85 at I-385 Wall Improvement Design-Build Project
Greenville County
Project ID P042302

SOQ Narrative:

Section 3.2 Introduction:

3.2.1 UMA Geotechnical Construction, Inc. intends to enter into contract with the SCDOT. Contact information for UMA Geotechnical Construction, Inc. (UMA) is as follows:

Contact Name: Brian DeSpain
Mailing Address: 8815 Neville Rd, Colfax, North Carolina, 27235
Phone Number: 336-904-2250
Email: bdespain@team-uma.com
Project will be managed from UMA headquarters located at address noted above.

3.2.2 Proposer Points of Contact:

Contact Name: Brian DeSpain
Mailing Address: 8815 Neville Rd, Colfax, North Carolina, 27235
Phone Number: 336-904-2250
Email: bdespain@team-uma.com

Contact Name: Nathan Chapin
Mailing Address: 8815 Neville Rd, Colfax, North Carolina, 27235
Phone Number: 336-904-2265
Email: nchapin@team-uma.com

3.2.3 Lead Contractor: UMA Geotechnical Construction, Inc.
Lead Designer: UMA Geotechnical Construction, Inc.

3.2.4 Lead Contractor Entity ID: MWJCPX4SDDA5
Lead Designer Entity ID: MWJCPX4SDDA5

3.2.5 All key individuals identified in this submittal intend to be available throughout the duration of the design-build project.



☎ : O (336) 992 0746
F (336) 996 8573

✉ : estimator@team-uma.com

🌐 : www.team-uma.com

📍 : P.O. Box 1070,
Kernersville, NC 27285



3.3.1 Team Structure & Team Integration:

UMA intends to perform the role of both Lead Contractor and Lead Designer on the I-85 at I-385 Wall Improvement Design-Build Project. UMA primarily completes design-build projects, with in-house engineering and construction services. For this project, UMA's lead designer, structural engineer, geotechnical engineer, project manager, and construction manager will all work seamlessly from our headquarters in Colfax, North Carolina.

UMA will collaborate with additional companies to ensure project success. UMA intends to work with Davenport for all traffic engineering design needs. Additionally, UMA intends to work with Stay Alert for all traffic control needs. UMA will also collaborate with Thorcon Shotcrete & Shoring to complete the final aesthetic sculpted wall facings desired on the project. Like UMA, Thorcon is also a design-build geotechnical contractor.

3.3.2 Project Resources, Strategies, and Execution:

UMA serves as a full design-build geotechnical contractor and can commit multiple crews to the project. Communication between field personnel, project management, and design engineers will be streamlined.

UMA possesses the necessary equipment to complete all construction services and installation of the concrete wall facings. UMA will complete all design analysis of the existing walls and connection of proposed concrete wall facings. UMA will complete the structural components and facings required for the project, and Thorcon will assist with the final aesthetic sculpted facing. UMA will utilize an outside traffic control company to prepare and implement traffic control plans.

UMA has completed various permanent shoring projects for state departments of transportation in the past. Past projects completed by UMA have consisted of various types of shoring methods and various types of final permanent facings. UMA has completed projects that included installation of sculpted shotcrete facings, cast-in-place concrete facings, and reinforced concrete panel facings to name a few.

UMA's primary office is located in central North Carolina, allowing easy access to project locations along the mid-Atlantic region. With headquarters in Colfax, North Carolina, UMA can serve the design and construction needs of this project in Greenville County, South Carolina, within only a few hours drive.

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Sheet No. UMA.1 Scale: Custom	No.	Description	Date
ORGANIZATIONAL CHART I-85 At I-385 SOQ ----- -----			
UMA GEOTECHNICAL CONSTRUCTION		Engineer of Record: ----	
Design by: ----	Approved by: ----		
Drawn by: ----	Checked by: ----		
UMA Project No: ----	Date: ----		
		8815 NEVILLE RD, COLFAX NC 27235 PH. 336-992-0746 www.team-uma.com	

Appendix A – Key Individual Reference Forms

KEY INDIVIDUAL RESUME FORM

Highlighted Text can be removed when filling out this form.

Brief Resume of Key Individual anticipated for the Project.	
a. Name & Title:	James DeSpain, P.E. Owner/CEO
b. Role of Key Individual for this Project:	Lead Design Engineer
c. Name of Firm with which you are now associated:	UMA Geotechnical Construction, Inc.
d. Years of Experience: With this Firm <u>20</u> Years With Other Firms <u>18</u> Years	<p>Stored, Inc.: Market Manager – Managed market development and sales, 1985 – 1992 DeSpain/Babcock: Principal Owner – Developed business relationships with US clients, 1992 – 2003 UMA: CEO/Owner–Manages and directs all aspects of the contractor firm, 2004 – present</p>
e. Education: Name & Location of Institution(s)/Degree(s)/Year(s)/Specialization(s):	University of Louisville / Louisville, KY / Engineering / 1985 Troy State University / Troy, AL / Masters Business Administration / 1980
f. Active Registrations: Year First Registered/State/Discipline/All Active Registration #s:	1992 / NC / Professional Engineer / 18464 2016 / VA / Professional Engineer / 56712 2016 / SC / Professional Engineer / 5433
g. Document the extent and depth of your experience and qualifications relevant to the Project.	<p><u>Project No. 1</u></p> <p>Role: Owner/CEO & Lead Engineer. Firm: UMA Geotechnical Construction, Inc. Project/ Duration: 4th and Green Apartments / August 8,2002- February 24, 2023. Owner : Windsor Contracting, LLC. Mike Sears, 336-282-3550. Value: \$169,570. Description: UMA designed and installed one 3,875 SF temporary soil nail wall.</p> <p><u>Project No. 2</u></p> <p>Role: Owner/CEO & Lead Engineer Firm UMA Geotechnical Construction, Inc. Project/Duration Rocky River WWTP / June 20,2022 – July 15, 2022. Owner Water and Sewer Authority of Cabarrus County Value \$104,166.71 Description: UMA installed one 2,354 SF temporary soil nail wall.</p> <p><u>Project No. 3</u></p> <p>Role: Owner/CEO & Lead Engineer Firm: UMA Geotechnical Construction, Inc. Project/Duration Ballantyne Reimagined Brewery / June 28,2022 – September 15,2022 Owner Northwood Development, LLC. Value \$122,676. Description: UMA installed one 1,563 SF Permanent Soil Nail Wall and 6" permanent wall facing with gun finish.</p>
h. Jim is currently overseeing multiple project managers and design engineers handling high density polyurethane resin, micropiles, and soil nail walls to ensure project success. One project requires the installation of micropiles at a warehouse and the other requires a soil nail wall at a wastewater treatment plant. Both projects are in central North Carolina.	

KEY INDIVIDUAL RESUME FORM

Highlighted Text can be removed when filling out this form.

Brief Resume of Key Individual anticipated for the Project.	
a. Name & Title: P.K. Prah-Ennin, P.E. Senior Design Engineer	
b. Role of Key Individual for this Project: Geotechnical and Structural Engineer	
c. Name of Firm with which you are now associated: UMA Geotechnical Construction, Inc.	
d. Years of Experience: With this Firm <u>3</u> Years With Other Firms <u>8</u> Years:	
<p>URS/AECOM: Structural Engineer –Performed structural analysis, 2013 – 2014 WECTEC: Structural Engineer–Represented design engineering and provided design feedback,2014–2016 Duke Energy: Civil Structural Engineer – Structural analysis and conceive, plan, and research complex problem areas. 2017 – 2018 UMA: Geotechnical Structural Engineer- Plan, estimate, and design deep foundation geotechnical projects including: soil nail walls, micropiles, and helical piles. 2021 - present</p>	
e. Education: Name & Location of Institution(s)/Degree(s)/Year(s)/Specialization(s): UNC Charlotte / Charlotte, NC / BS / 2011 / Civil Engineering UNC Charlotte / Charlotte, NC / MS / 2013 / Structural Engineering	
f. Active Registrations: Year First Registered/State/Discipline/All Active Registration #s: 2018 / SC / Structural / 35558 2019 / NC / Structural / 48910	
g. Document the extent and depth of your experience and qualifications relevant to the Project.	
<p><u>Project No. 1</u></p> <p>Role: Geotechnical Structural Engineer Firm: UMA Geotechnical Construction, Inc. Project/Duration: Belmont Bridge, March 2,2023 - March 24,2023 Owner: Charlottesville Department of Public Works, 434-970-2290 Value: \$130,541.20 Description: Designed and installed one 2,318 SF temporary soil nail wall with 134 nails.</p> <p><u>Project No. 2</u></p> <p>Role Geotechnical Structural Engineer Firm UMA Geotechnical Construction, Inc. Project/Duration Merschel Park /April 19,2022 – May 18, 2022 Owner City of Winston-Salem, 336-727-8000 Value \$118,899.56 Description Designed and installed one 1,347 SF permanent soil nail wall with 73 nails.</p> <p><u>Project No. 3</u></p> <p>Role Geotechnical Structural Engineer Firm UMA Geotechnical Construction, Inc. Project/Duration Clemson Memorial Stadium, January 23,2023 – April 21, 2023 Owner Clemson University Board of Trustees Value \$158,983 Description Designed and installed one 2,583 SF Temporary soil nail wall with 189 nails.</p>	
h. Currently at Uma P.K. is constantly estimating, planning, and designing deep foundation projects. P.K. is currently designing a soil nail wall located in High Point, NC and a micropile project located in Garden City, NC.	

KEY INDIVIDUAL RESUME FORM

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Brief Resume of Key Individual anticipated for the Project.	
a. Name & Title:	Luke McFetters, Vice President & Senior Project Manager
b. Role of Key Individual for this Project:	Project Manager
c. Name of Firm with which you are now associated:	UMA Geotechnical Construction, Inc.
d. Years of Experience: With this Firm <u>10</u> Years	With Other Firms <u>4</u> Years
<p>Paul Davis Restoration: Project Manager –Assess, coordinate, and estimate claims, 2010–2012. Servpro: Repair Manager – Responsible for overseeing roadway projects as assigned, 2012–2012. Tri-Co Contractors: Estimator – Responsible for roadway design duties as assigned, 2012–2013. UMA- Vice President/Senior Project Manager – oversees all production and operations management.2014-present.</p>	
e. Education: Name & Location of Institution(s)/Degree(s)/Year(s)/Specialization(s):	Western Carolina University / Cullowhee, NC / BS Manufacturing Engineering Technology/ 2004.
f. Active Registrations: Year First Registered/State/Discipline/All Active Registration #s:	N/A
g. Document the extent and depth of your experience and qualifications relevant to the Project.	
<p><u>Project No. 1</u></p> <p>Role: Vice President / Senior Project Manager Firm: UMA Geotechnical Construction, Inc. Project/Duration: Wiley Magnet School / February 10,2022-August 23, 2022. Owner: Winston-Salem/Forsyth County Schools 336-727-2816 Value: \$116,508. Description: Install one 1,671 SF permanent soil nail wall.</p> <p><u>Project No. 2</u></p> <p>Role Vice President / Senior Project Manager Firm UMA Geotechnical Construction Project/Duration Lake Cumberland Recreation / July 6,2022 – October 28,2022. Owner Federal Highway Administration(EFLHD). Value \$773,883.73. Description: UMA Installed two soil nail walls. SNW A(7,700LF) / SNW B(5,750LF).</p> <p><u>Project No. 3</u></p> <p>Role Vice President / Senior Project Manager Firm UMA Geotechnical Construction, Inc. Project/Duration 4th and Green Apartments / August 8,2022-February 24,2023. Owner Windsor Contracting, LLC. Mike Sears, 336-282-3550. Value \$169,570. Description: UMA designed and installed one 3,875 SF temporary soil nail wall.</p>	
<p>Luke is currently overseeing multiple project managers handling shoring and deep foundation drilling. One of these is a job in central North Carolina where Luke is overseeing the production and operations of a 4,533 SF soil nail wall.</p>	

KEY INDIVIDUAL RESUME FORM

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Brief Resume of Key Individual anticipated for the Project.	
a. Name & Title:	Brendan Falls. Project Manager
b. Role of Key Individual for this Project:	Construction Manager
c. Name of Firm with which you are now associated:	UMA Geotechnical Construction
d. Years of Experience: With this Firm <u>5</u> Years With Other Firms <u>3</u> Years	Endura Products: Production Planner – Managed the production process, 2016–2019. UMA: Project Manager – Manages the progression and timeline for each project assigned to him. 2019-2024.
e. Education: Name & Location of Institution(s)/Degree(s)/Year(s)/Specialization(s):	East Carolina University / Greenville, NC / BS Industrial Engineering Technology / 2016.
f. Active Registrations: Year First Registered/State/Discipline/All Active Registration #s:	
g. Document the extent and depth of your experience and qualifications relevant to the Project.	
<p><u>Project No. 1</u></p> <p>Role: Project Manager Firm: UMA Geotechnical Construction, Inc. Project/Duration: Wiley Magnet School, February 10,2022-August 23,2022. Owner: Winston-Salem/Forsyth County Schools 336-727-2816. Value: \$116,508. Description: Install one 1,671 SF permanent soil nail wall.</p> <p><u>Project No. 2</u></p> <p>Role: Project Manager Firm: UMA Geotechnical Construction Project/Duration: Lake Cumberland Recreation / July 6,2022 – October 28,2022. Owner: Federal Highway Administration (EFLHD). Value: \$773,883.73. Description: UMA Installed two soil nail walls. SNW A(7,700LF) / SNW B(5,750LF).</p> <p><u>Project No. 3</u></p> <p>Role: Project Manager Firm: UMA Geotechnical Construction, Inc. Project/Duration: 4th and Green Apartments / August 8,2022-February 24,2023. Owner: Windsor Contracting, LLC. Mike Sears, 336-282-3550. Value: \$169,570. Description: UMA designed and installed one 3,875 SF temporary soil nail wall.</p>	
h. Brendan is currently managing multiple projects and the superintendents running the jobs, organizing meetings with general contractors, and making sure materials are ordered and accounted for. One of these jobs is located in LaCrosse, VA in which Brendan is managing the production process for the installation of micropiles and sheet pile walls.	

KEY INDIVIDUAL RESUME FORM

Brief Resume of Key Individual anticipated for the Project.	
a.	Name & Title: Richard Chamberlain Traffic Control Manager
b.	Role of Key Individual for this Project: Traffic Control Manager
c.	Name of Firm with which you are now associated:
d.	Stay Alert Safety Services
e.	Years of Experience: With this Firm <u>7</u> Years With Other Firms <u>26</u> Years Firm 1: Stay Alert-Traffic Control Manager – Responsible for Traffic Control for All Project, 2024 – 2017 Firm 2: Flat Iron- Forman – Responsible for overseeing roadway projects as assigned, 2017 – 2016. Firm 3: Protection Service Inc— Responsible for overseeing roadway projects as assigned, 2016 – 2010.
f.	Education: Name & Location of Institution(s)/Degree(s)/Year(s)/Specialization(s): My University / City, State / Master of Science / 2000 / Civil Engineering - Transportation My University / City, State / Bachelor of Science / 1998 / Civil Engineering
g.	National Safety Council 1/25/23 to 1/25/28
h.	Document the extent and depth of your experience and qualifications relevant to the Project. <u>Project No. 1</u> Key Personnel Role: Traffic Control Manager Experience with Current Firm: Firm 1 Project/Assignment Duration: Carolina Crossroads Phase 1 01/2021 to 12/25 Owner Contact Information: Archer/United SCDOT # 5156780 Design/Construction Value: \$1,592,370 Project Description: I-26 & I-126 New traffic pattern Going to I-126 and Colonial Life Blvd Widening and adding new fly overs. <u>Project No. 2</u> Key Personnel Role: Traffic Control Manager Experience with Current Firm: Firm 1 Project/Assignment Duration: I-95 Jasper Hampton Colleton County 1/22 To 18/25 Owner Contact Information: Blythe SCDOT # 8886260 Design/Construction Value: \$1,592,370 Project Description: Rehab I-95 MM28 TO MM68 <u>Project No. 3</u> Key Personnel Role: Traffic Control Manager Experience with Current Firm: Firm 1 Project/Assignment Duration: Panther Project Owner Contact Information: United/Blythe SCDOT # 4658500 Design/Construction Value: \$102,00 Project Description: Add new intersection on I-77 build new bridge.
i.	For Key Personnel required to be on-site full-time for the duration of construction, provide a current list of assignments, role, and the anticipated duration of each assignment. N/A

KEY INDIVIDUAL RESUME FORM

Brief Resume of Key Individual anticipated for the Project.

a. Name & Title:
Zachery Andrews, P.E. - Project Manager II

b. Role of Key Individual for this Project:
Traffic Engineer

c. Name of Firm with which you are now associated:
John Davenport Engineering, Inc. dba DAVENPORT

d. Years of Experience: With this Firm 6 Years With Other Firms 3 Years

Firm 1: Site Manager – Responsible for organizing tasks timely and efficiently, 2013 - 2014
Firm 2: Parking Manager – Responsible for vehicle parking management, 2015 - 2017
Firm 3: Staff Engineer – Developed reports for NCDOT, Geotech writer, 2015 - 2015
Firm 4: Project Manager II – Lead roadway design engineer and project planner. 2015 - 2024

e. Education: Name & Location of Institution(s)/Degree(s)/Year(s)/Specialization(s):
University of North Carolina -Charlotte / Bachelor of Science / 4 Years / Civil Engineering

f. Active Registrations: Year First Registered/State/Discipline/All Active Registration #s:
2021 / NC / Civil / 052554

g. Document the extent and depth of your experience and qualifications relevant to the Project.

Project Example No. 1

Key Personnel Role: **Traffic Engineer**

Experience with Current Firm: **Firm 4**

Project/Assignment Duration: **RDU Aviation Blvd Interchange, Assigned 4/2023-6/2023**

Owner Contact Information: **Dewberry/Bryan Lambeth, PE**
blambeth@dewberry.com (984) 833-4826

Design/Construction Value: **\$22,445.65**

Project Description:

The DAVENPORT team was assigned a project through the Raleigh-Durham Airport Authority for traffic engineering services. The project consisted of capacity and safety improvements to the intersection of National Guard Drive at Aviation Parkway. The improvements are intended to accommodate the increased traffic volume due to the expansion of Economy Parking Lot 3.

Our scope of work included development of functional plans using NCDOT and AASHTO specifications from LIDAR and aerial imagery. We developed three alternatives showing horizontal and vertical alignments and profiles with dimensions, slope stakes, turning templates, and cross sections. The alternatives needed to include roundabout configurations that connected service roads. Additionally, we were to provide corridor modeling, all necessary design calculations and typical NCDOT functional quantities. The DAVENPORT team was also responsible for quality control and assurance reviews for alignments, profiles, and the design of the 3 alternatives.

Project Example No. 2

Key Personnel Role: **Traffic Engineer**

Experience with Current Firm: **Firm 4**

Project/Assignment Duration: **Downtown Two-Way Conversion, Assigned 2016-On-going**

Owner Contact Information: **City of Winston-Salem, Jeffrey Fansler**
jeffreygf@cityofws.org (336) 747-6883

Design/Construction Value: **\$645,796.84**

Project Description:

NCDOT's Business 40 improvements project, which began in 2016, included the elimination of several interchanges through the downtown Winston-Salem area and the modernization of ramps and bridges. The DAVENPORT team was selected by the City of Winston-Salem to conduct a traffic study to fully understand the potential impacts of the project on traffic patterns in downtown as it related to the business environment and long-term economic development implications. For one portion of the project the DAVENPORT team provided transportation design services for the conversion of one-way pairs of 1st Street/2nd Street and Main Street/Liberty Street to two-way traffic. The full scope of work includes the design of four (4) total miles of roadway (approximately two (2) miles per roadway pair), maintenance of traffic, and a traffic management plan. Work also includes improvements to Old Salem Road to realign with Liberty Street. One of the key challenges with the design was that the right-of-way could not be attained. Therefore, the design had to stay

within the curb line or existing right-of-way. Another obstacle we faced was that the existing utilities, mast arms, and drainage systems could not be impacted. The design was to be completed within these parameters and without any road closures. Our team came up with a concept design that maintained curb lines and met all other requirements.

Project Example No. 3

Key Personnel Role:	Traffic Engineer
Experience with Current Firm:	Firm 4
Project/Assignment Duration:	Howard Street Enhancements, Assigned 2015-2019
Owner Contact Information:	Town of Boone, NC, Todd Moody todd.moody@townofboone.net (828) 268-6200
Design/Construction Value:	\$460,928.00

Project Description:

The DAVENPORT team provided traffic engineering and design services for Howard Street in downtown Boone, NC. The challenges our team had to overcome were poor and uneven pedestrian sidewalk / walking path conditions which were next to vehicular lanes also used by bicyclists. A shortage of crosswalks, numerous parking lot access points some unpaved, and vehicular parking in the pedestrian walk areas for loading and unloading all create conflict points. By converting Howard Street to one-way travel, we improved safety and accommodated all travelers. The Town envisioned elements of a Woonerf to give equal consideration to all travel modes. The DAVENPORT team analyzed the one-way conversion option for both eastbound and westbound, and ultimately recommended the westbound option since it provided better traffic circulation with fewer conflict points. We also recommended providing high visibility crosswalks, sharrows, consolidating / eliminating / narrowing existing driveways, add short term parking, enhanced lighting, and traffic signal modifications.

- h. For Key Personnel required to be on-site full-time for the duration of construction, provide a current list of assignments, role, and the anticipated duration of each assignment.

The DAVENPORT team understands our role in making projects successful. Although our staff are engaged in meaningful projects, our calculations show us Zachery Andrews has significant available to perform the required work for this project when it becomes available.

**Appendix B – Work History and Quality Form –
Contractor/Designer (Section 3.5.1)**

WORK HISTORY AND QUALITY FORM – CONTRACTOR/DESIGNER

[Name of Lead Contractor/Major Subcontractor – Use for all A fields OR Lead Designer/Major Sub-consultant – Use for all B fields]

a. Project Name, Delivery Method (DBB, DB, etc.), & Location (City, State)	b. Name of lead responsible for the overall project design or construction	c. Contact information of the Client & their Project Manager who can verify A's or B's responsibilities	d. Actual or Estimated Construction & Professional Services Completion Date	e. Actual or Estimated Project Construction Cost (in thousands)	f. Dollar Value of Work Performed by A or B (in thousands)
Name: I-40 and I-77 Interchange Delivery Method: D/B Location: Iredell County, NC	Name: Luke McFetters	Name of Owner: NCDOT General Contractor: The Lane Construction Company Project Manager: Matt Miltner Phone:704-641-2708 Email:mrmiltner@laneconstruct.com	10/2022	\$4,109,377.26	\$4,109,377.26
g. Narrative describing the work performed by A or B. If submitting work completed by an affiliated or subsidiary company of A, identify the full legal name of the affiliate or subsidiary and their role on the Project. Include the office location(s) where the design work was performed and whether B was the lead designer or a sub-consultant.					
<p>UMA Geotechnical Construction installed approximately 19,813 square feet of temporary soil nail walls and 56,245 square feet of permanent soil nail walls. UMA used a shotcrete reinforced temporary and permanent wall with 75 ksi epoxy-coated and bare all-thread bar of various lengths and sizes depending on the wall and nail location. UMA installed four inches of shotcrete to prep the temporary wall face for the installation of the permanent walls. For approximately 5,000 square feet of the permanent walls there was a MSE wall constructed on the face. For the remainder of the permanent walls Boulderscapes shot a 6 inch permanent layor of shotcrete and then a 2 inch layer of architectural shotcrete and then carved the wet concrete to a ashlar stone finish. Each soil nail utilized a nut, washer and studded bearing plate to transfer loads from the reinforced shotcrete construction facing to the finished wall facing.</p> <p>Key Individuals who worked on this project: Jim DeSpain - Lead Design Engineer Luke Mcfetters - Construction Manager Brendan Falls - Project Manager</p>					
h. Self-Assessment. The information provided in this section should be a self-assessment of A's or B's performance on the project to identify As or Bs with firms or personnel that have successfully completed projects on time and on or under budget, and to identify As or Bs that have records of managing contracts to minimize delays, claims, dispute proceedings, litigation, and arbitration.					
UMA completed the walls within the general contractors expectations of scheduled duration and cost. There was no delays, claims, dispute proceedings, litigation, and arbitration.					
i. Quality Initiatives. Discuss A's or B's quality initiatives including, but not limited to, cost control, schedule management and adherence, avoidance of claims, and other pertinent initiatives enhancing quality on the project.					
UMA provided consistent management oversight throughout the duration of project and constant communication from the field to management to ensure that any and all issues were dealt with in a timely and orderly fashion ultimately resulting in the avoidance of claims and ultimately ensuring the quality of project.					
j. For each question in Section 3.5.2 of the RFQ for which a “Yes” answer was provided, A or B shall provide a detailed explanation below.					

WORK HISTORY AND QUALITY FORM – CONTRACTOR/DESIGNER

[Name of Lead Contractor/Major Subcontractor – Use for all A fields OR Lead Designer/Major Sub-consultant – Use for all B fields]

a. Project Name, Delivery Method (DBB, DB, etc.), & Location (City, State)	b. Name of lead responsible for the overall project design or construction	c. Contact information of the Client & their Project Manager who can verify A's or B's responsibilities	d. Actual or Estimated Construction & Professional Services Completion Date	e. Actual or Estimated Project Construction Cost (in thousands)	f. Dollar Value of Work Performed by A or B (in thousands)
Name: Greensboro Western Loop Delivery Method: <u>D/B</u> Location: Greensboro, NC	Name: Luke McFetters	Name of Owner: NCDOT General Contractor: Flatiron Constructors – Blythe Development Company, A Joint Venture Project Manager: Donny Anderson Phone:336-908-4697 Email:danderson@flatironcorp.com	12/2019	\$3,002,593.35	\$3,002,593.35
g. Narrative describing the work performed by A or B. If submitting work completed by an affiliated or subsidiary company of A, identify the full legal name of the affiliate or subsidiary and their role on the Project. Include the office location(s) where the design work was performed and whether B was the lead designer or a sub-consultant.					
<p>UMA Geotechnical Construction installed eight temporary soil nail walls totaling approximately 75,210 square feet. UMA used a shotcrete reinforced temporary wall with 75 ksi epoxy-coated all-thread bar of various lengths and sizes depending on the wall and nail location. UMA installed four to seven inches of shotcrete to prep the temporary wall face for the installation of the permanent cast in place wall face. Each soil nail utilized a nut, washer and studded bearing plate to transfer loads from the reinforced shotcrete construction facing and CIP wall.</p> <p>Key Individuals who worked on this project: Jim DeSpain - Lead Design Engineer Luke Mcfetters - Construction Manager</p>					
h. Self-Assessment. The information provided in this section should be a self-assessment of A's or B's performance on the project to identify As or Bs with firms or personnel that have successfully completed projects on time and on or under budget, and to identify As or Bs that have records of managing contracts to minimize delays, claims, dispute proceedings, litigation, and arbitration.					
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UMA provided consistent management oversight throughout the duration of project and constant communication from the field to management to ensure that any and all issues were dealt with in a timely and orderly fashion ultimately resulting in the avoidance of claims and ultimately ensuring the quality of project.					
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WORK HISTORY AND QUALITY FORM – CONTRACTOR/DESIGNER

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a. Project Name, Delivery Method (DBB, DB, etc.), & Location (City, State)	b. Name of lead responsible for the overall project design or construction	c. Contact information of the Client & their Project Manager who can verify A's or B's responsibilities	d. Actual or Estimated Construction & Professional Services Completion Date	e. Actual or Estimated Project Construction Cost (in thousands)	f. Dollar Value of Work Performed by A or B (in thousands)
Name:I-26 from NC-280 (Exit 40) to I-40 Delivery Method: D/B Location: Buncombe & Henderson Counties, NC	Name: Luke Mcfeters	Name of Owner: NCDOT General Contractor: Fluor-United Asheville, LLC Project Manager: Jonathan Dorogy Phone:724-816-4080 Email:Jonathan.dorogy@fluorunitedllc.com	08/2022	\$4,242,055.52	\$4,242,055.52
g. Narrative describing the work performed by A or B. If submitting work completed by an affiliated or subsidiary company of A, identify the full legal name of the affiliate or subsidiary and their role on the Project. Include the office location(s) where the design work was performed and whether B was the lead designer or a sub-consultant.					
<p>UMA Geotechnical Construction installed approximately 115,610 square feet of temporary soil nail walls. UMA used a shotcrete reinforced temporary wall with 75 ksi epoxy-coated all-thread bar of various lengths and sizes depending on the wall and nail location. UMA installed four inches of shotcrete to prep the temporary wall face for the installation of the permanent RECO installed panel wall face. Each soil nail utilized a nut, washer and studded bearing plate to transfer loads from the reinforced shotcrete construction facing to the finished panel wall.</p> <p>Key Individuals who worked on this project: Jim DeSpain - Lead Design Engineer Luke Mcfeters - Construction Manager Brendan Falls - Project Manager</p>					
h. Self-Assessment. The information provided in this section should be a self-assessment of A's or B's performance on the project to identify As or Bs with firms or personnel that have successfully completed projects on time and on or under budget, and to identify As or Bs that have records of managing contracts to minimize delays, claims, dispute proceedings, litigation, and arbitration.					
UMA completed the walls within the general contractors expectations of scheduled duration and cost. There was no delays, claims, dispute proceedings, litigation, and arbitration.					
i. Quality Initiatives. Discuss A's or B's quality initiatives including, but not limited to, cost control, schedule management and adherence, avoidance of claims, and other pertinent initiatives enhancing quality on the project.					
UMA provided consistent management oversight throughout the duration of project and constant communication from the field to management to ensure that any and all issues were dealt with in a timely and orderly fashion ultimately resulting in the avoidance of claims and ultimately ensuring the quality of project.					
j. For each question in Section 3.5.2 of the RFQ for which a “Yes” answer was provided, A or B shall provide a detailed explanation below.					

WORK HISTORY AND QUALITY FORM – CONTRACTOR/DESIGNER

[Name of Lead Contractor/Major Subcontractor – Use for all A fields OR Lead Designer/Major Sub-consultant – Use for all B fields]

a. Project Name, Delivery Method (DBB, DB, etc.), & Location (City, State)	b. Name of lead responsible for the overall project design or construction	c. Contact information of the Client & their Project Manager who can verify A's or B's responsibilities	d. Actual or Estimated Construction & Professional Services Completion Date	e. Actual or Estimated Project Construction Cost (in thousands)	f. Dollar Value of Work Performed by A or B (in thousands)
Name: I-77 Hot Lanes Delivery Method: D/B Location: Charlotte, NC	Name: UMA Geotechnical Construction	Name of Owner: Ferrovial Project Manager: Juan Jose De Torres Phone: 757-692-9368 Email:	10/2017	\$4,900,304.00	\$0.00
g. Narrative describing the work performed by A or B. If submitting work completed by an affiliated or subsidiary company of A, identify the full legal name of the affiliate or subsidiary and their role on the Project. Include the office location(s) where the design work was performed and whether B was the lead designer or a sub-consultant.					
<p>UMA completed design submittals and installed various permanent soil nails walls totaling approximately 62,763 square feet on the I-77 Hot Lanes Project in Charlotte, North Carolina. UMA utilized a shotcrete reinforced permanent wall with 75 ksi epoxy-coated all-thread bar of various lengths and sizes depending on the wall and nail location. Each soil nail consisted of a nut, washer and studded bearing plate to transfer loads from the reinforced shotcrete facing to the soil nails. The final permanent wall consisted of a cast-in-place concrete facing.</p> <p>Key Individuals who worked on this project: Jim DeSpain - Lead Design Engineer Luke Mcfeters - Construction Manager</p>					
h. Self-Assessment. The information provided in this section should be a self-assessment of A's or B's performance on the project to identify As or Bs with firms or personnel that have successfully completed projects on time and on or under budget, and to identify As or Bs that have records of managing contracts to minimize delays, claims, dispute proceedings, litigation, and arbitration.					
<p>UMA completed the individual shoring wall designs, as design parameters were made available. Construction of all walls was able to maintain on schedule as UMA provided final wall design submittals within the general contractors schedule expectations. There were no delays, claims, dispute proceedings, litigation, or arbitration.</p>					
i. Quality Initiatives. Discuss A's or B's quality initiatives including, but not limited to, cost control, schedule management and adherence, avoidance of claims, and other pertinent initiatives enhancing quality on the project.					
<p>UMA completed all design (and installation) of the permanent soil nail walls on schedule, with direct communication between field personnel and in-house design engineers. UMA provided consistent management oversight throughout the duration of project and constant communication from the field to management to ensure that any and all issues were dealt with in a timely and orderly fashion ultimately resulting in the avoidance of claims and ultimately ensuring the quality of project.</p>					
j. For each question in Section 3.5.2 of the RFQ for which a “Yes” answer was provided, A or B shall provide a detailed explanation below.					

WORK HISTORY AND QUALITY FORM – CONTRACTOR/DESIGNER

[Name of Lead Contractor/Major Subcontractor – Use for all A fields OR Lead Designer/Major Sub-consultant – Use for all B fields]

a. Project Name, Delivery Method (DBB, DB, etc.), & Location (City, State)	b. Name of lead responsible for the overall project design or construction	c. Contact information of the Client & their Project Manager who can verify A's or B's responsibilities	d. Actual or Estimated Construction & Professional Services Completion Date	e. Actual or Estimated Project Construction Cost (in thousands)	f. Dollar Value of Work Performed by A or B (in thousands)
Name: I-75/I-16 Bibb County Delivery Method: D/B Location: Macon, GA	Name: UMA Geotechnical Construction	Name of Owner: GDOT Project Manager: Brooks Moore Phone: 346-386-1316 Email:	10/2024	\$750,000.00	\$0.00
g. Narrative describing the work performed by A or B. If submitting work completed by an affiliated or subsidiary company of A, identify the full legal name of the affiliate or subsidiary and their role on the Project. Include the office location(s) where the design work was performed and whether B was the lead designer or a sub-consultant.					
<p>UMA completed design submittals and installation of various temporary soil nails walls totaling approximately 27,419 square feet on the I-75/I-16 Bibb County project in Macon, Georgia. UMA utilized a temporary shotcrete reinforced facing with 75 ksi all-thread bar of various lengths and sizes depending on the wall and nail location.</p> <p>Key Individuals who worked on this project: Jim DeSpain - Lead Design Engineer Luke Mcfeters - Construction Manager Brendan Falls – Project Manager</p>					
h. Self-Assessment. The information provided in this section should be a self-assessment of A's or B's performance on the project to identify As or Bs with firms or personnel that have successfully completed projects on time and on or under budget, and to identify As or Bs that have records of managing contracts to minimize delays, claims, dispute proceedings, litigation, and arbitration.					
<p>UMA completed the individual shoring wall designs, as design parameters were made available. Construction of all walls was able to maintain on schedule as UMA provided final wall design submittals within the general contractor’s schedule expectations. There were no delays, claims, dispute proceedings, litigation, or arbitration.</p>					
i. Quality Initiatives. Discuss A's or B's quality initiatives including, but not limited to, cost control, schedule management and adherence, avoidance of claims, and other pertinent initiatives enhancing quality on the project.					
<p>UMA completed all design (and installation) of the temporary soil nail walls on schedule, with direct communication between field personnel and in-house design engineers. UMA provided consistent management oversight throughout the duration of project and constant communication from the field to management to ensure that any and all issues were dealt with in a timely and orderly fashion ultimately resulting in the avoidance of claims and ultimately ensuring the quality of project.</p>					
j. For each question in Section 3.5.2 of the RFQ for which a “Yes” answer was provided, A or B shall provide a detailed explanation below.					

WORK HISTORY AND QUALITY FORM – CONTRACTOR/DESIGNER

[Name of Lead Contractor/Major Subcontractor – Use for all A fields OR Lead Designer/Major Sub-consultant – Use for all B fields]

a. Project Name, Delivery Method (DBB, DB, etc.), & Location (City, State)	b. Name of lead responsible for the overall project design or construction	c. Contact information of the Client & their Project Manager who can verify A's or B's responsibilities	d. Actual or Estimated Construction & Professional Services Completion Date	e. Actual or Estimated Project Construction Cost (in thousands)	f. Dollar Value of Work Performed by A or B (in thousands)
Name: I-485 Express Lanes Delivery Method: D/B Location: Charlotte, NC	Name: UMA Geotechnical Construction	Name of Owner: NCDOT Project Manager: Stephan Marcella Phone: 864-303-9010 Email:	07/2021	\$417,635.84	\$0.00
g. Narrative describing the work performed by A or B. If submitting work completed by an affiliated or subsidiary company of A, identify the full legal name of the affiliate or subsidiary and their role on the Project. Include the office location(s) where the design work was performed and whether B was the lead designer or a sub-consultant.					
<p>UMA completed design and installation services for approximately twenty-two temporary soil nail walls and three permanent soil nail walls for the I-485 design-build project in Charlotte, North Carolina. All temporary soil nail walls consisted of a temporary shotcrete facing. All permanent soil nail walls consisted of an initial shotcrete facing, studded bearing plates, and cast-in-place concrete walls.</p> <p>Key Individuals who worked on this project: Jim DeSpain - Lead Design Engineer Luke Mcfeters - Construction Manager Brendan Falls – Project Manager</p>					
h. Self-Assessment. The information provided in this section should be a self-assessment of A's or B's performance on the project to identify As or Bs with firms or personnel that have successfully completed projects on time and on or under budget, and to identify As or Bs that have records of managing contracts to minimize delays, claims, dispute proceedings, litigation, and arbitration.					
<p>UMA completed the individual shoring wall designs, as design parameters were made available. Construction of all walls was able to maintain on schedule as UMA provided final wall design submittals within the general contractor’s schedule expectations. There were no delays, claims, dispute proceedings, litigation, or arbitration.</p>					
i. Quality Initiatives. Discuss A's or B's quality initiatives including, but not limited to, cost control, schedule management and adherence, avoidance of claims, and other pertinent initiatives enhancing quality on the project.					
<p>UMA completed all design (and installation) of the temporary soil nail walls on schedule, with direct communication between field personnel and in-house design engineers. UMA provided consistent management oversight throughout the duration of project and constant communication from the field to management to ensure that any and all issues were dealt with in a timely and orderly fashion ultimately resulting in the avoidance of claims and ultimately ensuring the quality of project.</p>					
j. For each question in Section 3.5.2 of the RFQ for which a “Yes” answer was provided, A or B shall provide a detailed explanation below.					

Appendix C – Work History and Quality Form – Contractor/Designer (Section 3.5.2)

3.5.2 Quality of Past Performance:

UMA answered “no” to all questions in section J. of the provided “Work History and Quality Forms – Contractor/Designer” forms provided as part of Section 3.5.1 (Appendix B).

Experience Modification Rate (EMR) Scores:

2023: 0.92

2022: 0.88

2021: 0.75

References – Soil nail wall references available on the following two pages. Additional references available upon request.



UMA, Geotechnical Construction, Inc.
8815 Neville Road
Colfax, North Carolina 27285
Office:(336)992-0746
Project Manager: Brian DeSpain / Superintendent: Gary Jordan

Work Code: 003020 Soil Nail Walls Project Summary

Project	Project Description	Owner	Owner Contact Info	Prime Contractor Name	Contact	Email Address	Prime Contractor Number	Complete Yes or No	Date Started	Date Completed	Man Hours Left	Project Paid to UMA	Soil Nail Quantity	Square Footage Quantity
C204163 Wall 6 ABC	Install temporary 5,679 SF soil nail wall with shotcrete facing.	NCDOT	N/A	Lane Construction Corp.	James Seybert	jseybert@laneconstruct.com	704-553-6500	Yes	11/11/2019	3/1/2020	0 Hours	204,248.22	240	5,679
C204163 Wall 6 FGH	Install temporary 4,800 SF soil nail wall with shotcrete facing.	NCDOT	N/A	Lane Construction Corp.	James Seybert	jseybert@laneconstruct.com	704-553-6500	No	3/3/2020		814 Hours	155,987.66	192	4,800
C204163 Wall 5A	Install temporary 7,300 SF soil nail wall with shotcrete facing.	NCDOT	N/A	Lane Construction Corp.	James Seybert	jseybert@laneconstruct.com	704-553-6500	No	2/19/2020		218 Hours	246,349.46	295	7,300
FAM RW-S1C-Compton Road	Install permanent 2,740 SF soil nail wall with shotcrete facing and Ashlar Dry stack aesthetic finishing.	VDOT	N/A	FAM Construction, LLC	Marta Barandiaran Odiozola	mbarandiaran@fam66.us	703-349-7423	Yes	8/23/2019	1/15/2020	0 Hours	263,108.00	114	2,740
Fam RW-S2A-28GN-4	Install permanent 13,680 SF soil nail wall with shotcrete facing and Ashlar Dry stack aesthetic finishing.	VDOT	N/A	FAM Construction, LLC	Marta Barandiaran Odiozola	mbarandiaran@fam66.us	703-349-7423	No	9/3/2019		200 Hours	1,110,400.52	412	13,680
TDOT CNT234	Install 45,000 LF of soil nails with 13,200 SF of shotcrete facing, 2,560 LF of horizontal drains, and 9,200 SF of permanent cast in place facing.	TDOT		Twin K Construction, Inc.	Kelley Krahn	kkrahn@twinkconstruction.com	423-568-2049	No	9/5/2019		1,849 Hours	2,310,896.60	638	13,200
Speedway	Install 3,200 SF of permanent soil nail wall to repair slope failure behind Speedway 2121 store.	Speedway LLC	Brittany Black	N/A	N/A	bblack@speedway.com	937-863-7992	Yes	7/29/2019	9/23/2019	0 Hours	341,372.00	156	2,665
NC 226 Marion	Install 2,525 SF of permanent soil nail wall for slope protection along NC 226.	NCDOT	Chris Andresen	N/A	N/A	ctandresen@ncdot.gov	919-707-2627	Yes	7/22/2019	1/9/2020	0 Hours	1,135,248.45	177	2,525
VDOT N92	Install 3,650 SF of temporary soil nail wall for bridge replacement project.	VDOT	N/A	DLB Enterprises, LLC	Gene Hubbard	ghubbard@dlb-digs.com	276-728-2137	Yes	5/21/2019	8/2/2019	0 Hours	228,373.50	135	3,650
Spillway Wall Repairs	Install 280 square foot permanent soil nail wall at Lake Toxaway spillway.	Lake Toxaway, NC	828-966-9453	Lake Toxaway	Debbie Harris		828-966-9453	Yes	3/4/2016	3/22/2016	0 Hours	47,937.00	12	280
Cliff Dweller's Inn	Install 3,805 SF of rock anchors and shotcrete.	NCDOT	N/A	Vecellio & Grogan	Max Prestwood	max.prestwood@vecelligrogan.com	304-252-6575	Yes	7/11/2016	11/7/2016	0 Hours	362,270.12	153	3805

Project	Project Description	Owner	Owner Contact Info	Prime Contractor Name	Contact	Email Address	Prime Contractor Number	Complete Yes or No	Date Started	Date Completed	Man Hours Left	Project Paid to UMA	Soil Nail Quantity	Square Footage Quantity
New Heritage Wastewater Pump Station	Install temporary soil nail wall to support current excavation.	York County, SC	N/A	Layne Heavy Civil, LLC	Joshua Vondersaar	josh.vondersaar@reynoldscon.com	812-865-3232	Yes	4/19/2016	7/20/2016	0 Hours	255,586.15	124	3,100
I/77 Hot Lanes Interchange	Install permanent and temporary soil nail wall in Charlotte, NC. 92,000 SFT anticipated	Mobility Partners, LLC	N/A	Sugar Creek Construction	Juan De Torres	jdetorres@sugarcreekllc.us	757-692-9368	Yes	3/20/2017	9/11/2019	5000 Hours	4,283,567.00	3710	92673
Brooks Elementary	Install temporary soil nail walls for shoring and underpinning.	Wake County Public Schools	N/A	Fred Smith Company	Chris Stratton	chris.stratton@fredsmithcompany.net	919-783-5700	Yes	8/17/2016	9/17/2016	0 Hours	124,907.00	22	550
Burke County NCDOT 204072	Install temporary soil nail walls. Anticipated 4000 SFT	NCDOT	N/A	Dane Construction	Cole White	cole@daneconstruction.com	980-337-2399	No	6/5/2019	7/31/2020	0 Hours	278,756.32	178	5016
Western Loop	Install permanent soil nail walls.	NCDOT	N/A	Flatiron Constructors, Inc. - Blythe Development Co., JV/	Donny Anderson	danderson@flatironcorp.com	919-460-5393	Yes	4/22/2017	5/1/2019	0 Hours	2,967,667.83	3,219	80,475
Brookshire Greenway	Installed a permanent soil nail wall for a greenway project in Watauga County, NC	Watauga County, NC	N/A	Greene Construction, Inc.	Preston Spencer	prestons@greeneconstructionnc.com	(828)-264-2611	Yes	7/28/2015	11/15/2015	0 Hours	159,111.86	74	1183

Appendix D – Legal and Financial

CAPABILITY STATEMENT

UMA Geotechnical Construction is an experienced team of industry specialists and geotechnical technicians ready to help you with all your deep foundation, structural support, and ground improvement projects. Not only are we the premier geotechnical construction company serving the Mid-Atlantic and Southeastern U.S., but we're also a Service-Disabled Veteran-Owned Small Business (SDVOSB). UMA specializes in services like soil nailing, High-Density Polyurethane Injection, deep foundations, and much more. Whether you are a general contractor, developer, public agency, or property owner, we can serve you with our geotechnical construction experience and knowledge. Our projects range from large soil nail walls along major highways to micropiles that support major manufacturing facilities, to HDPR injections to fix building foundation settlement issues. Whether public or private, the one thing our customers have in common is a need for high-quality ground engineering solutions.

UMA Geotechnical Construction
P.O. Box 1070
Kernersville, NC 27285
Phone: 336-992-0746

Officer Signature: _____

Print Name: Brian P. Respin

Date: 2/22/24

Company Seal:



State of: NC

County of: Guilford

The forgoing document was acknowledged

before me 22 day of Feb, 2024

Casey Oster

Your Name Here, Notary Public

My Commission Expires: Nov 25, 2024

My Commission Expires November 25, 2024
Casey Oster
NOTARY PUBLIC
Forsyth County
North Carolina



**Service-Disabled Veteran-
Owned Small Business**

CAGE Code: 34SX8

DUNS: 174982533

SWaM: 688253



NAICS Codes:

237990

Other Heavy and Civil
Engineering Construction

238190

Other Foundation, Structure,
and Building Exterior
Contractors

CSI Codes:

31 50 00 - Excavation
support and underpinning

31 41 00 - Shoring

31 60 00 - Foundation and
Load-Bearing Elements

31 62 00 - Bored and Driven
Piers and Piles



Contact Information

UMA Geotechnical Construction
Phone: 336-992-0746
Email: estimator@team-uma.com

CAPABILITY STATEMENT

Company Data

UMA is a Design-Build geotechnical contractor, founded in 2004, that performs work in the Mid-Atlantic and Southeast. We have successfully completed thousands of projects using engineered solutions and unique technologies to overcome the most challenging geotechnical

Core Competencies

- High-Density Polyurethane Resin (HDPR) Soil Stabilization
- HDPR Slab Stabilization
- Micropiles/Minipiles
- Low-Density Cellular Concrete (TerraCRETE™)
- Micropiles/Minipiles
- Helical / Displacement Piles
- Design-Build Geotechnical Solutions
- Limited Access Drilling
- Temporary/Permanent Soil Nail Walls
- Rock Bolts

Past Performance

- **18th Street Bridge Charlotte, N.C.** – Designed and installed micropiles for two end bents for a new bridge in downtown Charlotte, N.C. The micropiles were cased with 9.625" casing, with a compression load of 180 kips. The average installation depth was 25 feet.
- **I-40/I-77 Interchange, Statesville, N.C.** – Designed and installed an 45,000+/- square feet of design building permanent and temporary soil nail wall to facilitate the widening and new constriction of bridge for North Carolina DOT.
- **I-77 Interchange, Charlotte, N.C.** – Designed and installed a revolutionary system to excavate behind an existing MSE wall below a bridge using a combination of our HDPR material and traditional soil nails and shotcrete. UMA was able to create a stone/polymer matrix that was self-supporting in lifts of 5' to allow for vertical excavation and traditional shoring methods.

Differentiators

- Service-Disabled Veteran-Owned Small Business
- Design-Build capabilities supported by two in-house 2 P.E.'s
- Dedicated team of professionals assigned to every project
- Project review protocol ensures clients receive a carefully considered solution
- Demonstrated communication ensuring smooth transactions
- We are principled, innovative, quality and client-satisfaction driven



James P. Lowrey
Surety | Mid-Atlantic Region

Marsh McLennan Agency
2301 Sugar Bush Drive, Suite 600
Raleigh, NC 27612
T +1 919 719 9585 | M +1 919 880 4583
Jamie.Lowrey@MarshMMA.com

February 22, 2024

Re: UMA, Geotechnical Construction, Inc. – Statement of Bondability

To Whom It May Concern:

Please be advised that we handle the surety needs for UMA, Geotechnical Construction, Inc.. We have analyzed and reviewed the contractor's financial statements and believe the contractor is financially sound for surety credit up to \$7,000,00.00. Our surety team is in the process of applying for surety credit for UMA, Geotechnical Construction, Inc. We can assure you that if final bonds are required, the surety company will be of an AM Best rating of A_VIII or higher.

We have complete confidence UMA, Geotechnical Construction, Inc., its management team, and the manner in which they operate their business. Ethical conduct is the standard in all of UMA, Geotechnical Construction, Inc. business dealings with owners, subcontractors, and professionals. UMA, Geotechnical Construction, Inc. is also recognized in the community and industry for its integrity and honest business approach. We recommend them to you without reservation.

If UMA, Geotechnical Construction, Inc. is awarded a project, it is our intention to issue any necessary final bonds. Naturally, the execution of said bonds would be subject to the mutually acceptable review of the final contract terms and conditions, proper evidence of financing by the owner and acceptability of bond forms, both by our client and their bonding company.

If we can provide you with any further information concerning our client, UMA, Geotechnical Construction, Inc., please donot hesitate to call me 919-880-4583

Sincerely,

A handwritten signature in black ink, appearing to read 'James P. Lowrey'.

James P. Lowrey, Attorney-in-Fact
Surety Agent

Appendix E – Organizational Conflict of Interest

DISCLOSURE OF POTENTIAL CONFLICT OF INTEREST CERTIFICATION

PROPOSER hereby indicates that it has, to the best of its knowledge and belief has:

☒ Determined that no potential organizational conflict of interest exists.

☐ Determined a potential organizational conflict of interest as follows:

Attach additional sheets as necessary.

1. Describe nature of the potential conflict(s):
2. Describe measures proposed to mitigate the potential conflict(s):

Signature

Date

Print Name

Company

If a potential conflict has been identified, please provide name and phone number for a contact person authorized to discuss this disclosure certification with Department of Transportation contract personnel.

Name

Phone

Company

Appendix F – Confidential or Proprietary Information Summary List

N/A (none)

Appendix G – Addendum Receipt Form(s)

N/A (none)

Appendix H – Key Individual and Contractor/Designer Reference Form(s)

[illegible]