

**SMALL BUSINESS ENTERPRISE (SBE)**  
*South Carolina Unified Certification Program*

**CERTIFICATION UPDATE APPLICATION**

Owner Name: \_\_\_\_\_ Federal Employer ID: \_\_\_\_\_  
Company Name: \_\_\_\_\_  
Phone Number: \_\_\_\_\_ Fax: \_\_\_\_\_ Other Number: \_\_\_\_\_  
Mailing Address: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_  
Email: \_\_\_\_\_ Web Address: \_\_\_\_\_  
Date of Application: \_\_\_\_\_

**PLEASE ANSWER THE FOLLOWING QUESTIONS:**

1. Has the firm undergone any changes in ownership? If yes, please indicate below and attach supporting documentation (i.e., stock certificates, purchase agreements, promissory notes, etc.).

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

2. Are there any changes that may affect the control of your firm (i.e. new owners/partners)?

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3. Haulers, how many trucks do you own? \_\_\_\_\_

4. How many people do you currently employ? \_\_\_\_\_

5. Attach a copy of your firm's 2023 Federal tax return. No state returns please.

6. Verify your company information listed on the SBE Directory is correct.

**CERTIFICATION UPDATE APPLICATIONS CAN BE MAILED OR EMAILED TO THE FOLLOWING:**

**Mail to:** SC Department of Transportation  
Office of Civil Rights Programs  
955 Park Street, Suite 117  
Columbia, SC 29201

*For internal use only*

Date Update Complete \_\_\_\_\_

By \_\_\_\_\_

**Email to:** DBECertification@scdot.org

# SMALL BUSINESS ENTERPRISE (SBE)

## *Declaration of No Change*

STATE OF: \_\_\_\_\_

COUNTY OF: \_\_\_\_\_

NAME OF SBE FIRM: \_\_\_\_\_

1. I am the majority owner of the above firm and I control the firm as required by the DBE regulations set forth in 49 CFR part 26.
2. There have been no changes in the circumstances of the firm since the date it was certified (or last recertified) which would affect its ability to meet the SBE eligibility requirements concerning size, disadvantaged status, ownership, or control as set forth in 49 CFR part 26.
3. There have been no material changes in the information provided in the firm's application for certification (or previous recertification applications), except those about which the firm has provided SCDOT written notice on \_\_\_\_\_ (provide date of notice to SCDOT).
4. The firm's average annual gross receipts for the past five fiscal years do not exceed \$7.5 million.
5. The personal net worth of the owner of the firm does not exceed \$2.047 million, as required by 49 CFR part 26.

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### DECLARATION OF NO CHANGE PURSUANT TO 28 USC § 1746:

**I DECLARE UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES OF AMERICA THAT THE FOREGOING IS TRUE AND CORRECT.**

EXECUTED ON \_\_\_\_\_

\_\_\_\_\_  
Owner's Name (printed)

\_\_\_\_\_  
Owner's Signature